

# Fundamentals of SIP

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# Outline

- Background on VoIP
  - What is SIP?
  - SIP Components
  - SIP Messages
  - Locating Users and Establishing Sessions
  - Routing in SIP
  - Media with SIP
  - SIMPLE
  - NATs, Firewalls, and SIP
  - P2PSIP
  - References
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# Some (brief!) Background on VoIP

- Packet Switched, not Circuit switched
    - There is no dedicated path that traffic follows
    - Segmented into IP packets, sent to target, particular path not specified
  - Original telephone network was circuit switched (think of the operator w/wires)
    - SS7, defined by the ITU (International Telecommunications Union)
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# VoIP Protocols

- H.323
    - ITU defined VoIP protocol
      - ITU is very formal, government oriented
    - More “telephony” in nature than Internet
  - SIP
    - **IETF** (Internet Engineering Task Force) defined protocol for general multimedia session establishment
      - IETF is much less formal, individual oriented
    - More “Internet” in nature than telephony
-

# H.323 vs. SIP

<b><i>Feature</i></b>	<b><i>H.323</i></b>	<b><i>SIP</i></b>
<b><i>Encoding</i></b>	Binary	Text
<b><i>Control</i></b>	Centralized server	Endpoints (using proxy)
<b><i>Intelligence</i></b>	Most in central server; core	Most in the endpoints; edge
<b><i>Modularity</i></b>	Monolithic (all in one server)	Can be multiple servers
<b><i>Defined by</i></b>	ITU	IETF
<b><i>Media</i></b>	RTP	RTP

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# SIP

- **Session Initiation Protocol**
  - Defined (mostly) in IETF RFCs 3261-3264
    - Many other drafts extend SIP and add new features
  - Text based, very similar to HTTP
  - Establishes a media session between endpoints
  - Allows mobility – locate users using a SIP URI (sip:dbryan@sipeerior.com)
    - URI is not tied to a particular host
  - Usually runs on port 5060, using UDP or TCP
  - Allows for IPv4 and IPv6 (Next generation mobile phones use SIP over TCP/IPv6)
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# SIP

- Offers Event management (Subscribe/Notify) for presence etc.
  - Extended by SIMPLE to support IM (Instant Messaging)
  - Designed to allow easy interoperation with other Internet features such as WWW and email
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# Why is SIP Important?

- The promise of interoperable equipment
    - A Nortel phone talking to a Cisco proxy to reach another user with an Avaya phone
  - Designed for the future
    - Any media (voice, video, text, ???)
    - Internet/endpoint centric design
    - Easily extensible
  - Has become the dominant VoIP protocol
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# Major Components of SIP

- SIP systems specify components *logically*
  - There are several components specified
    - *UA* (User-Agent, Endpoint) – the phone itself
    - *Registrar* – keeps track of where the user is within a system
    - *Redirect Server* – used to inform devices when they need to contact different locations
    - *Proxy Server* – used to relay messages back and forth within the system
  - In practice, several *logical* functions may actually reside in the same *physical* server/program
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# User Agents

- A User Agent (also called a UA, Phone, or Endpoint) represents a user of a SIP system
  - A particular user may have more than one UA
  - Can be hard device (fixed or mobile) or a “soft client” – an application running on a PC



**Hard Phone**



**Mobile Hard Phone**

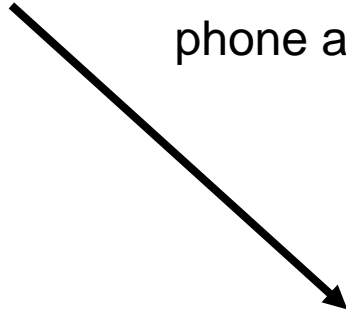


**Soft Client**

# Registration



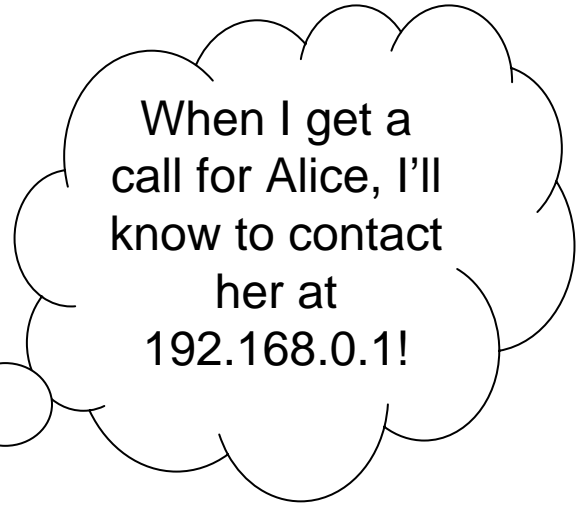
“I’m Alice, and I’ll be using a phone at 192.168.0.1 today!”



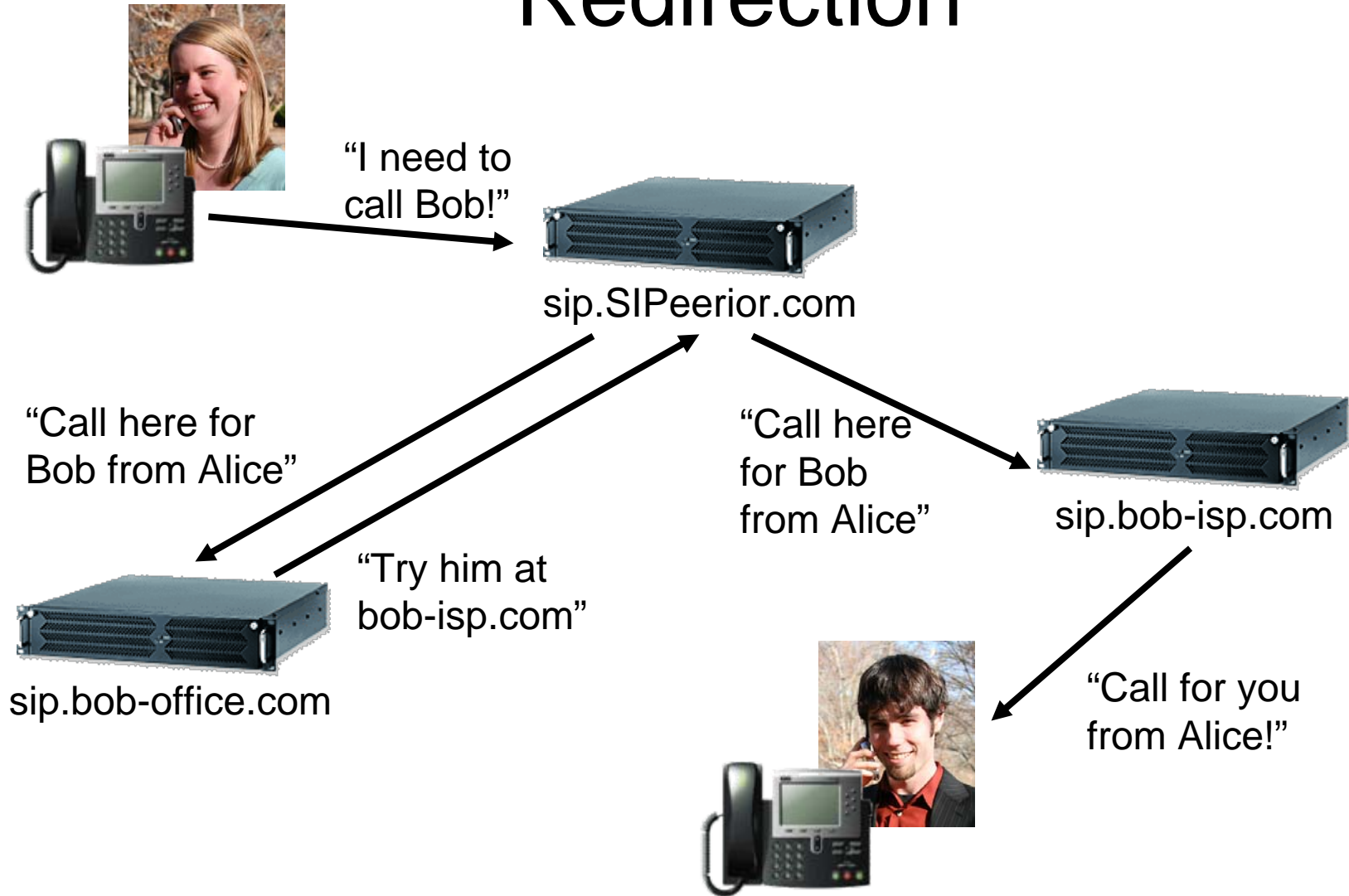
Registrar



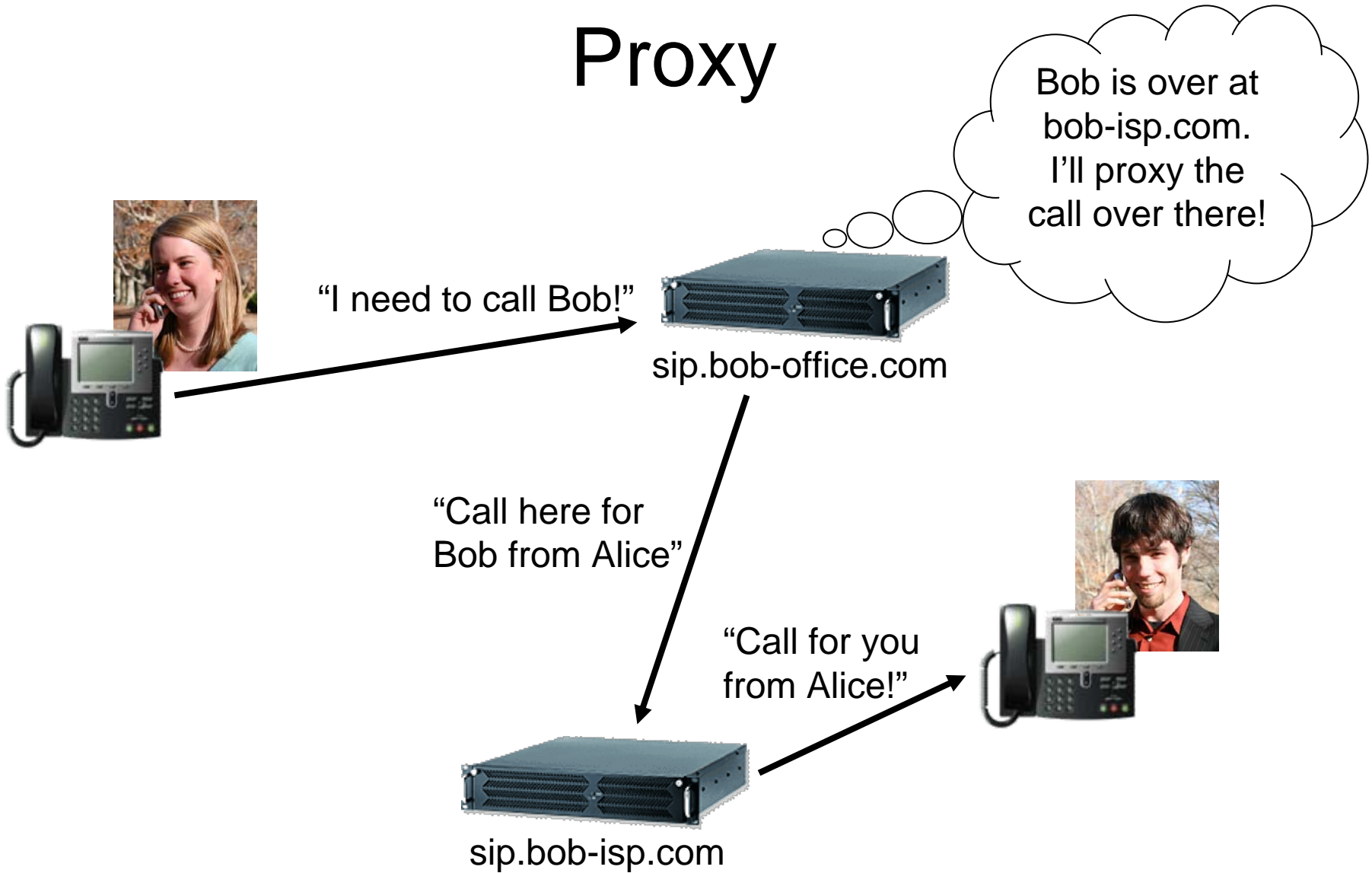
sip.SIPeerior.com



# Redirection



# Proxy



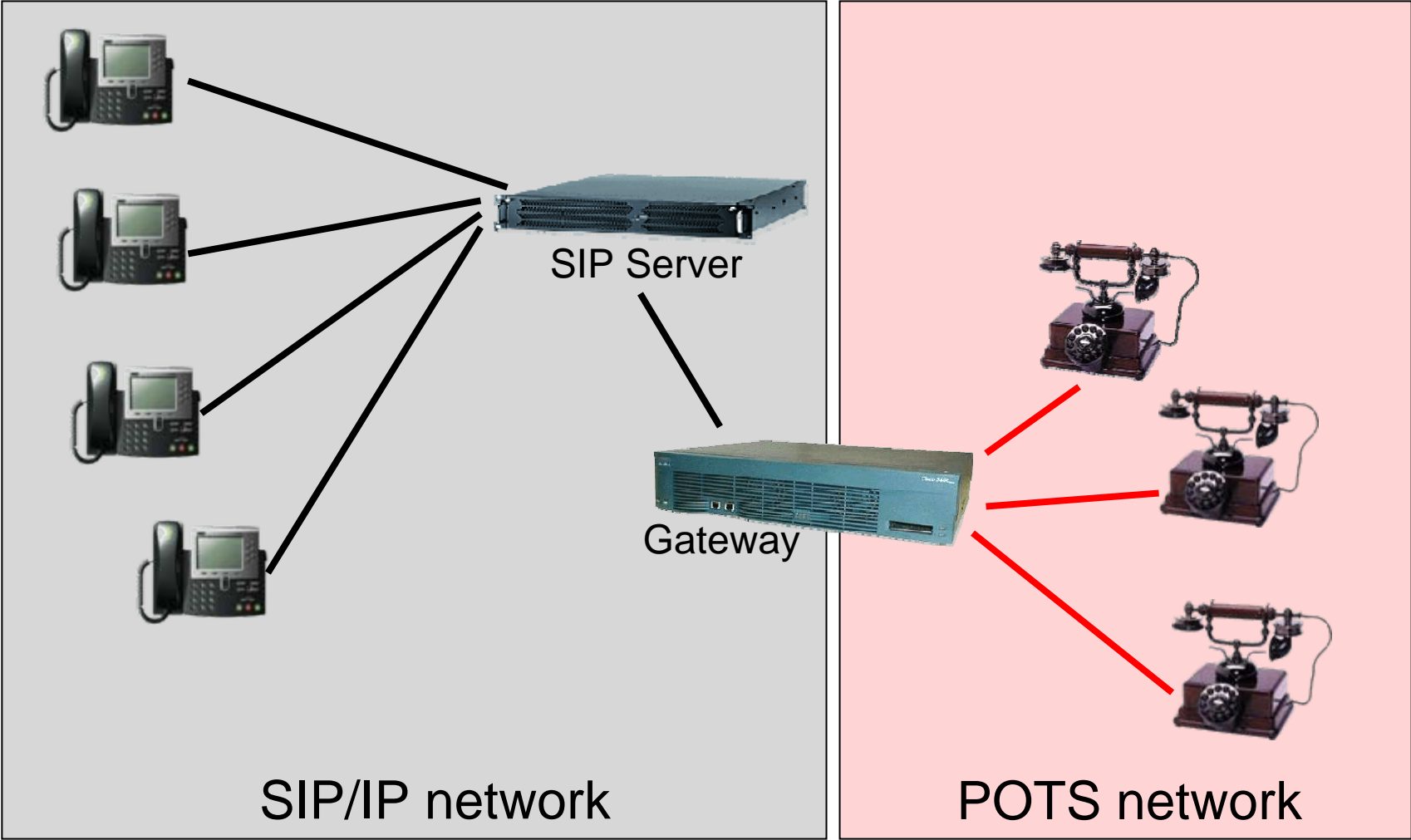
# Mapping to Physical Entities

- SIP Proxy
    - Often what is sold as a proxy is really a Proxy/Registrar/Redirect server
  - Many things are really built on UAs
    - Session Border Controllers are usually 2 UAs connected
      - B2BUA or “Back-to-Back User Agent”
    - Voice mail servers
    - Interactive Voice Response systems
  - Softswitch often incorporates *all* of this
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# Gateways

- How about connecting to the outside world?
    - If you want to talk to another SIP user, your proxy may know how to contact that proxy directly
    - To call out (or to have calls come in) you use a *gateway*. It connects to one or more regular phone lines to let you receive and place calls to old fashioned “Plain Old Telephone Service”, or POTS
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# Gateway example



SIP/IP network

POTS network

# SIP Messages

- SIP messages fall into two types – *requests* and *responses*
    - Requests include a method telling what it is they are doing.
    - REGISTER, to register where to contact a user
    - INVITE, to start a new session (call)
    - BYE, to end a session that is established
    - CANCEL, to end a session that is still being set up (no final response yet)
    - ACK, part of the triple handshake
    - Many others
-

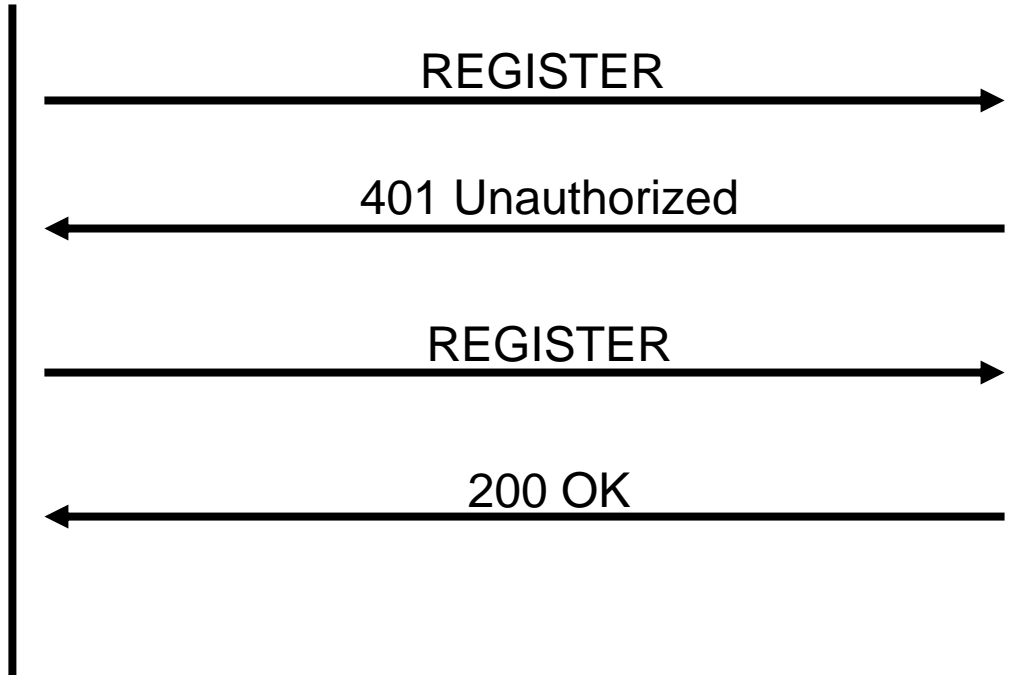
# SIP Messages

- Responses are numeric, much like HTTP
    - 1xx Provisional – 100 Trying, 180 Ringing
    - 2xx Successful – 200 OK
    - 3xx Redirection – 301 Moved Permanently, 302 Moved Temporarily
    - 4xx Failure – 404 Not Found, 410 Gone, 403 Forbidden
    - 5xx Server Failure – 503 Service Unavailable
    - 6xx Global Failure – 600 Busy Everywhere
-

# Registration Call Flow



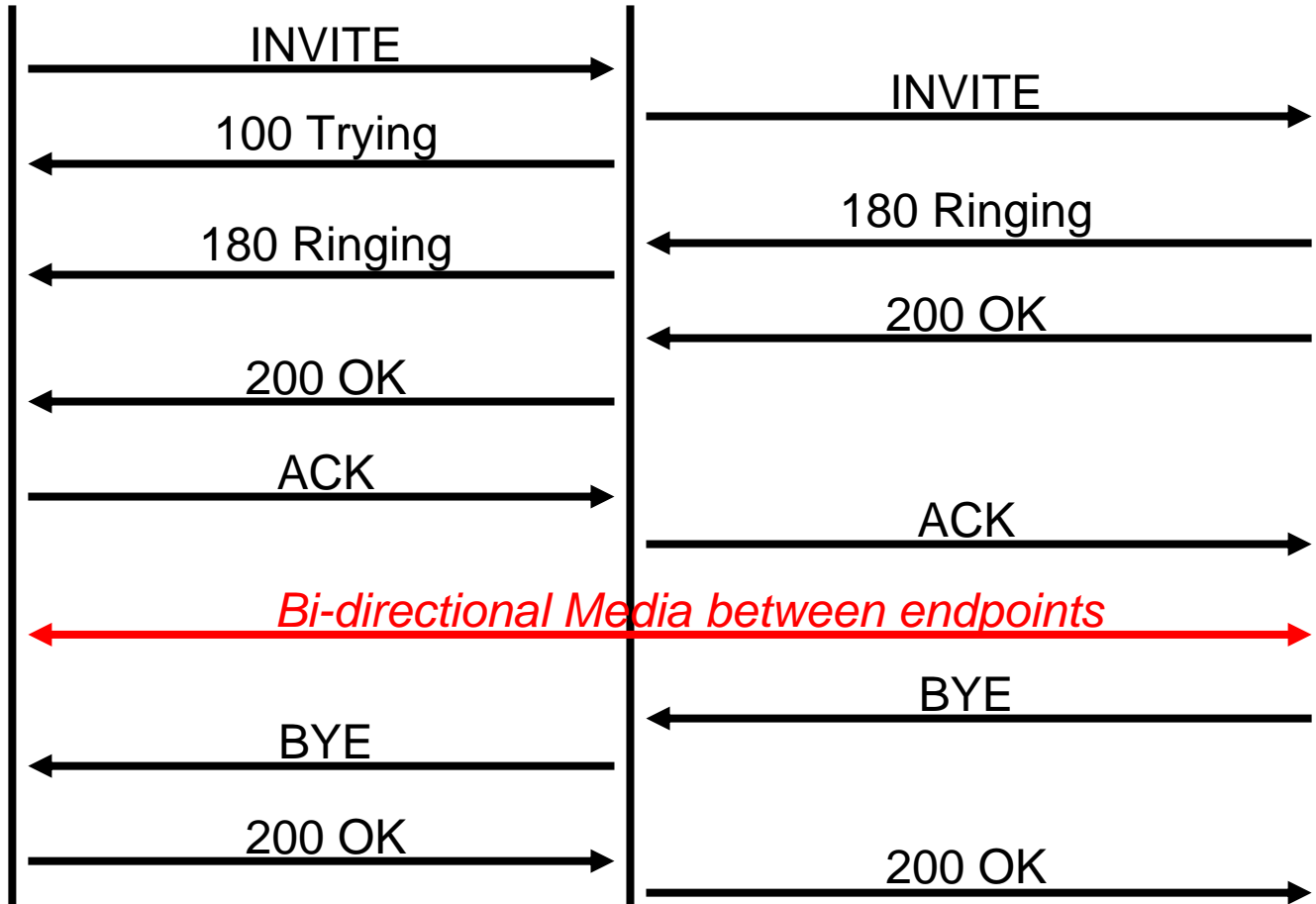
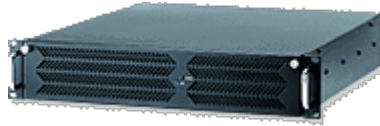
SIP Server



# Basic Call Flow



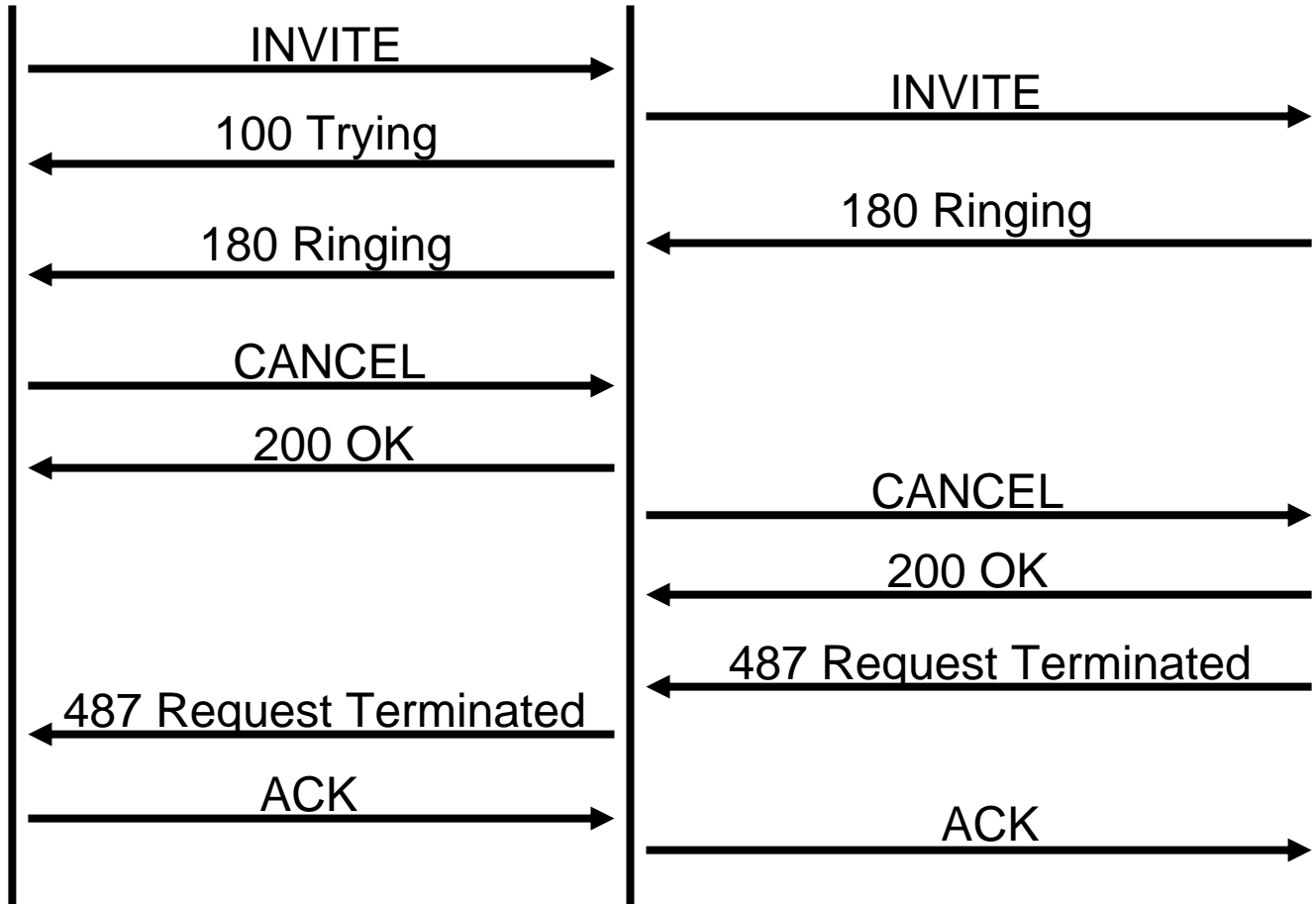
SIP Server



# No-answer Call Flow



SIP Server



# AORs and Contacts

- In SIP, a registrar maps between an AoR and a contact
    - AoR: **A**ddress **o**f **R**ecord
      - Permanent, something on your business card
      - Not tied to a specific host
      - sip:dbryan@SIPeerior.com
    - Contact
      - Ephemeral, tied to a host
      - sip:dbryan@ua32.sipeerior.com
    - Being able to change AoR→Contact mapping allows mobility
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# Registration, revisited



“I’m Alice (alice@phonecompany.com), and I’ll be using a phone at 192.168.0.1 today!”

Registrar



AoR → Contact Map:  
alice@phonecompany.com → alice@192.168.0.1

# Registration, revisited

“Lunch time! Mobile Time!  
(alice@phonecompany.com)  
will be using 10.0.1.1 now!”



10.0.1.1

Registrar



AoR → Contact Map:  
alice@phonecompany.com → alice@10.0.1.1

# INVITE message

INVITE sip:bob@bigcompany.com SIP/2.0  
Via: SIP/2.0/TCP aliceua.phonecompany.com:5060  
From: Alice <sip:alice@phonecompany.com>;tag=ss95cbav  
To: Bob <sip:bob@bigcompany.com>  
Call-ID: 3848276298220188511@aliceua.phonecompany.com  
CSeq: 1 INVITE  
Max-Forwards: 10  
Contact: <sip:alice@aliceua.phonecompany.com;transport=tcp>  
*...snip!...*

***(Alice is going to call Bob...)***

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# INVITE message

INVITE sip:bob@bigcompany.com SIP/2.0

*SIP request, method is INVITE, target is an AoR (for Bob)*

Via: SIP/2.0/TCP aliceua.phonecompany.com:5060

*Via header tracks where it has been (more on this later)*

From: Alice <sip:alice@phonecompany.com>; tag=ss95cbav

*Message is from Alice, and this is the SIP URL for Alice. The tag is unique to this call and selected by Alice's UA*

To: Bob <sip:bob@bigcompany.com>

*Alice is trying to contact Bob at his SIP URL*

Call-ID: 3848276298220188511@aliceua.phonecompany.com

*Call-ID is a unique ID to track this particular call (selected by Alice's UA)*

CSeq: 1 INVITE

*Used to track which responses go with which messages. Responses to this invite will also have a CSeq of 1 INVITE*

Max-Forwards: 10

*Used in routing (more on this later)*

Contact: <sip:alice@aliceua.phonecompany.com;transport=tcp>

*How to contact Alice directly. Notice this is a contact (has a host)*

...snip...

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# Calls and Dialogs

- A *call* is an informal term for communication between two devices
  - A *dialog* is a more specific term, which defines a relationship between two UAs for a duration
    - In many ways, this is what most people would think of as a call
    - Defined by Call-ID, To tag, and From tag
    - Sender selects Call-ID, and From tag
    - Receiver selects To tag
-

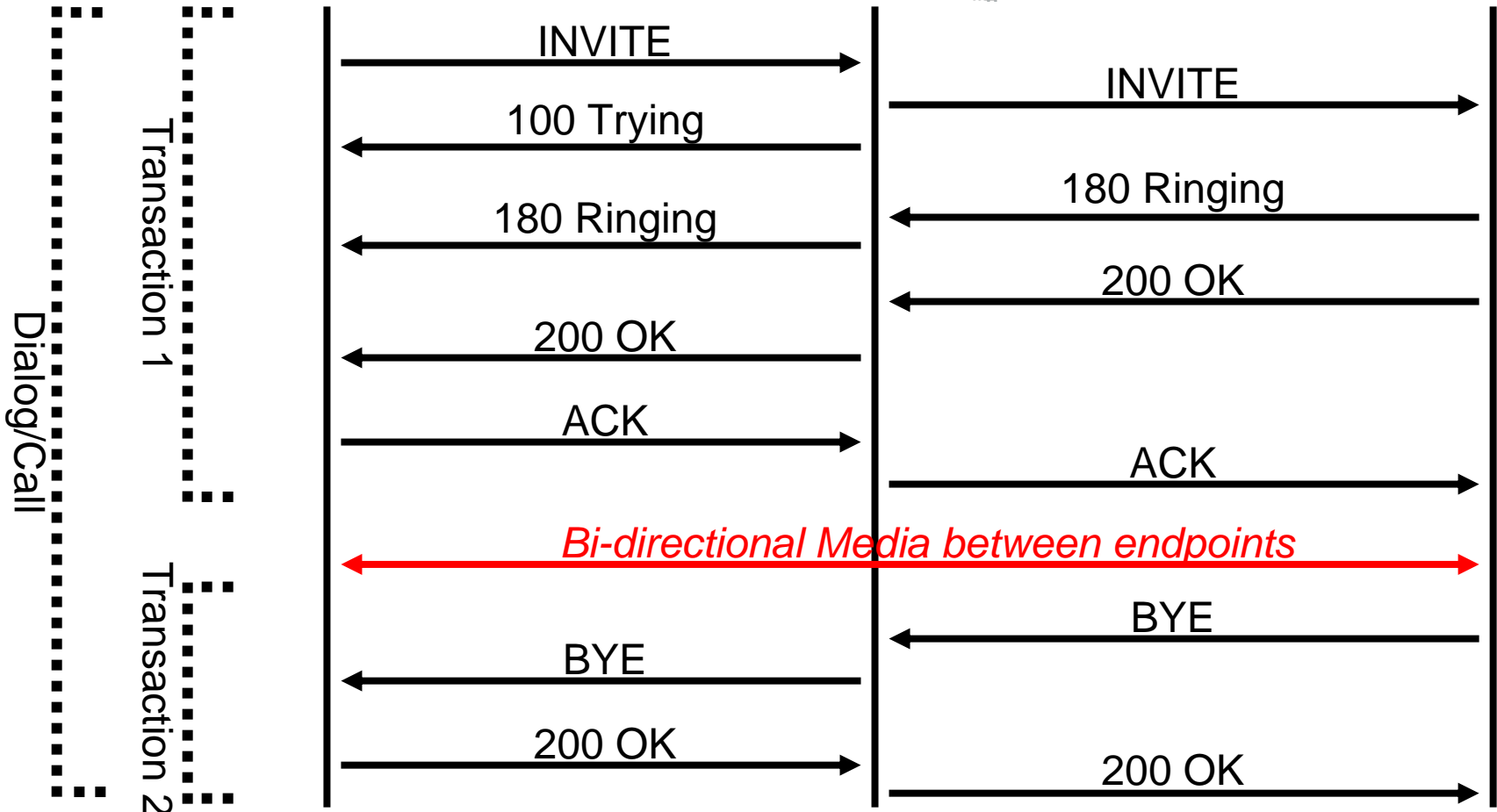
# Transaction

- A *transaction* defines a particular operation that is carried out between the endpoints
    - Example: (INVITE) Set up the call, (BYE) end the call
  - Includes all responses (including the ACK)
  - May be several transactions within a dialog
  - Each transaction uses a new CSeq
    - Chosen by the initiator of the transaction
    - Each side must increase the CSeq for each new transaction they initiate – no specified starting point
    - **But** since some transactions are initiated by each side, within a call it might look out of order
-

# Dialogs and Transactions



SIP Server



# Routing

- Each entity forwards message along path to reach destination
    - Places next destination into Request-URI (top line)
    - Adds themselves as another Via
    - Max-Forwards is decremented to prevent loops and limit the length of path
      - if it reaches 0, the message isn't forwarded again
-

# INVITE message

INVITE sip:bob@bigcompany.com SIP/2.0  
Via: SIP/2.0/TCP aliceua.phonecompany.com:5060  
From: Alice <sip:alice@phonecompany.com>;tag=ss95cbav  
To: Bob <sip:bob@bigcompany.com>  
Call-ID: 3848276298220188511@aliceua.phonecompany.com  
CSeq: 1 INVITE  
Max-Forwards: 10  
Contact: <sip:alice@aliceua.phonecompany.com;transport=tcp>  
...snip!...

***Let's see what happens as this message  
passes through the big company proxy on the  
way to Bob...***

---

# INVITE message

```
INVITE sip:bob@bobua.bigcompany.com SIP/2.0
Via: SIP/2.0/TCP proxy.bigcompany.com:5060
Via: SIP/2.0/TCP aliceua.phonecompany.com:5060
From: Alice <sip:alice@phonecompany.com>;tag=ss95cbav
To: Bob <sip:bob@bigcompany.com>
Call-ID: 3848276298220188511@aliceua.phonecompany.com
CSeq: 1 INVITE
Record-Route: <sip:proxy.bigcompany.com;lr>
Max-Forwards: 9
Contact: <sip:alice@aliceua.phonecompany.com;transport=tcp>
...snip!...
```

***Notice the new URI, added VIA, and decremented Max-Forwards. This message is forwarded to Bob's UA.***

***But one more here. What is record route?***

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# Record Route

- Once a UA has the address of the other UA in the call, they can communicate directly if they wish
  - Record route is a way for a proxy to request “keep me in the loop”
    - Ensures that future transactions include this proxy, rather than going directly to the other UA
-

# Routing Responses

- When Bob's UA responds, it "unrolls" the Via headers to decide where to route the responses
  - The response traces the path back, sending the response to the Via address of the entity that sent the message (responding!)
  - That entity removes itself from the Via and passes the message along, unrolling until it reaches the sender
-

# Forking

- While somewhat complicated, many features require “forking”
    - Send a message to more than one entity  
Need to track (and manage both sides)
  - Parallel forking (send to more than one at a time)
    - Ring both desk and mobile at once, cancel the call to whichever fails to pickup
  - Can also use redirection (sequential)
    - Ring desk phone, then try mobile if no answer
-

# SIP, SDP, and RTP

- SIP is only a part of the picture
  - SIP is used to establish the multimedia session
  - SIP messages don't describe the type of media themselves
    - Embed another protocol called SDP
  - Yet another protocol, RTP, is used to actually stream the media between the devices
-

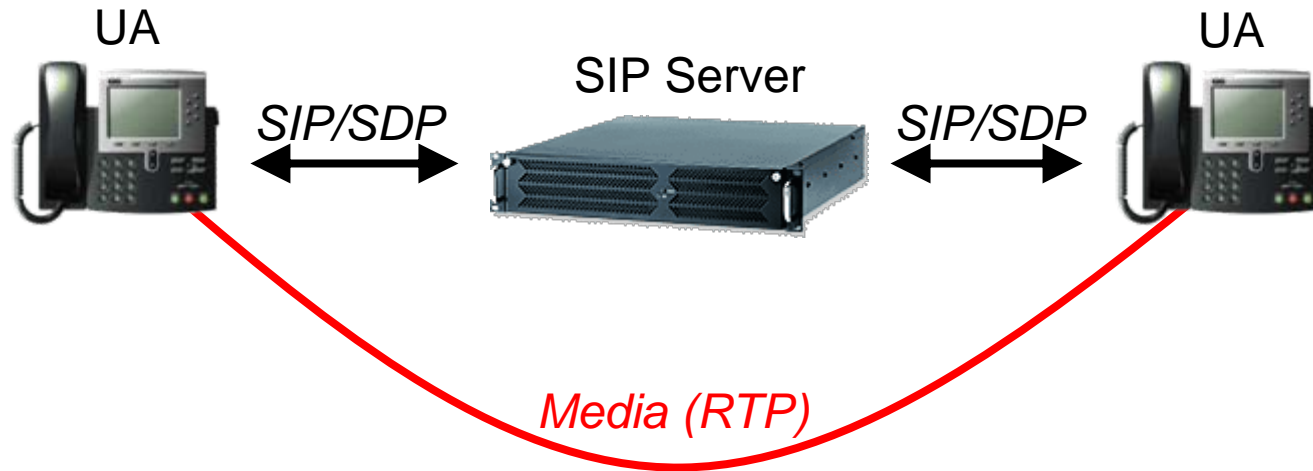
# Codecs (encoding)

- The media that flows using RTP is encoded using a codec
  - Codec is about how the audio has been converted to packets
    - Example: g.711, g.729, GIPS
    - In general, higher quality = more network bandwidth
    - Codec is described in SDP
-

# SDP/RTP

- Both of these are older IETF protocols used by SIP
    - IETF tries to promote reuse whenever possible
  - **Session Description Protocol**
    - Used by SIP to describe the media format, encoding, destination, etc.
    - Included in SIP message as a payload
  - **Real-time Transport Protocol**
    - Used to transport the encoded media across the wire
-

# The Protocols in a SIP Call



# INVITE message

INVITE sip:bob@bigcompany.com SIP/2.0  
Via: SIP/2.0/TCP alic EUA.phonecompany.com:5060  
From: Alice < sip:alice@phonecompany.com >; tag=ss95cbav  
To: Bob < sip:bob@bigcompany.com >  
Call-ID: 3848276298220188511@alicua.phonecompany.com  
CSeq: 1 INVITE  
Contact: < sip:alice@alic EUA.phonecompany.com;transport=tcp >  
Content-Type: application/sdp  
Content-Length: 134

v=0  
o=SIPeerior-UA 10010 605 IN IP4 alic EUA.phonecompany.com  
s=SIP Call  
c=IN IP4 192.0.1.1  
t=0 0  
m=audio 49172 RTP/AVP 0 8 16

---

# INVITE message

<snip!>

Content-Type: application/sdp

Content-Length: 134

*The content of the message is SDP – to describe the media of the call. We have 134 bytes of SDP*

v=0

*v is the SDP version number -- 0*

o=SIPeerior-UA 10010 605 IN IP4 aliceua.phonecompany.com

*o is a description of the UA and a session ID*

s=SIP Call

*s is an string to encode a name for this session*

c=IN IP4 192.0.1.1

*c tells the other side what address to send the media to*

t=0 0

*t indicates start and end times. 0 and 0 don't specify times in advance*

m=audio 49172 RTP/AVP 0 8 16

*m describes the media. We are listening for audio of type RTP/AVP on port 49172*

---

# Offer/Answer

- Different UAs may support different codecs
  - Calling UA lists what it supports and is willing to use in the INVITE message SDP
  - Called UA responds with the subset of that it is willing to use in the response SDP (200 OK)
  - If nothing in common, can't communicate and call is rejected
  - Mechanisms exist to change this mid call (for example, switching from audio to video)
-

# Instant Message and Presence

- SIP has a set of extensions called SIMPLE
    - **SIMPLE: SIP** for **I**ntant **M**essaging (IM) and **P**resence **L**everaging **E**xtensions
    - Allows sending text messages between devices
    - Can cleanly transition to a voice chat, since the session is all SIP
    - Presence (ability to see “status” of other user) is also supported (and persistent on server)
      - Idle, Offline, Available, Busy, Offline till Monday...
-

# Instant Message and Presence

- MESSAGE method used to send text messages
  - SUBSCRIBE to express an interest, “subscribe” to someone’s status
  - PUBLISH to change your status on the presence server
  - NOTIFY is sent from server to those who have subscribed
-

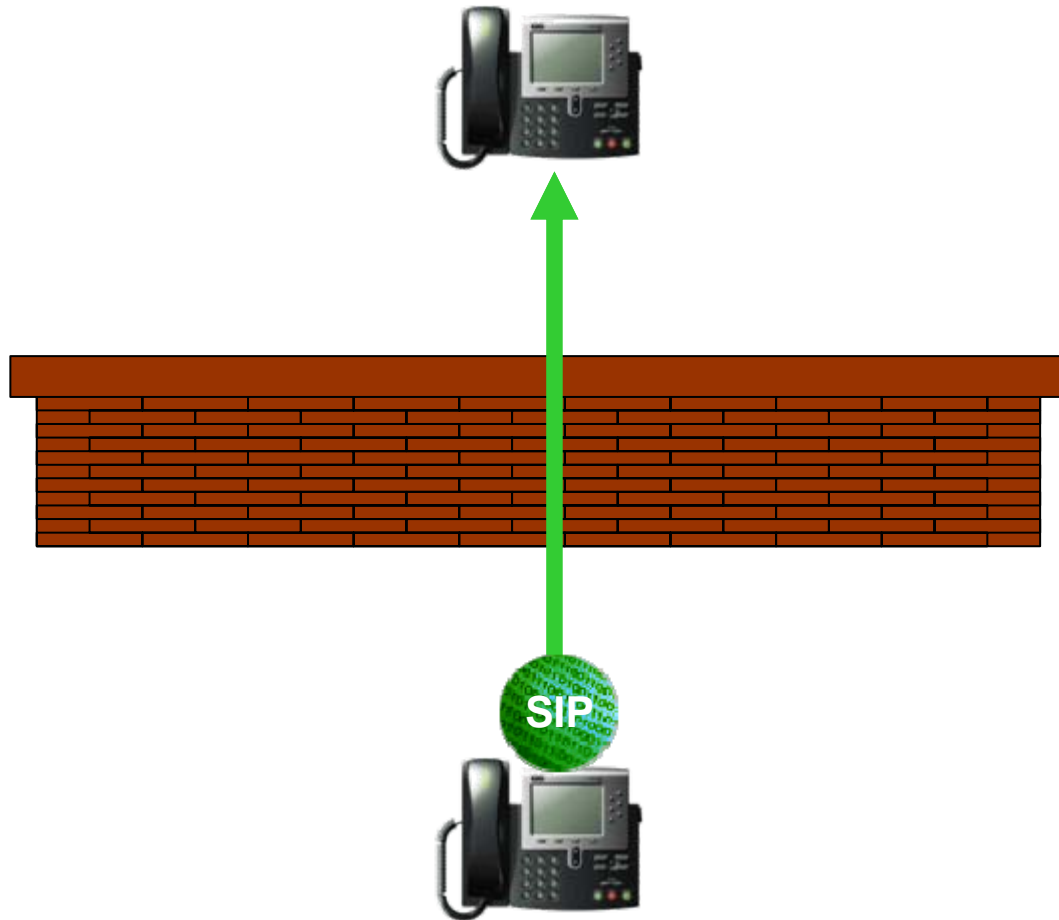
# Extending SIP

- SIMPLE isn't the only extension
  - SIP was designed from the beginning to be highly extensible
  - Can add new methods, new responses, headers
  - Several groups at IETF determine what is really an accepted “standard” extension
    - SIP, SIPPING, SIMPLE working groups
  - Many vendors add non-interoperable “extensions” to SIP
    - These may not work across different vendor platforms
-

# NAT/FW Traversal

- SIP has traditionally had some trouble getting through firewalls (FWs) and **Network Address Translators (NATs)**
  - Two major causes
    - NATs use “private” IP addresses, not routable from the outside
      - SIP embeds these, outside entity has no way to respond
    - SIP and RTP (the media) are on different “ports”
      - Each requires a specific forwarding through the firewall to work and must be opened separately
-

# Firewalls and Media



A UA Contacts another UA (or a proxy), sending SIP messages.

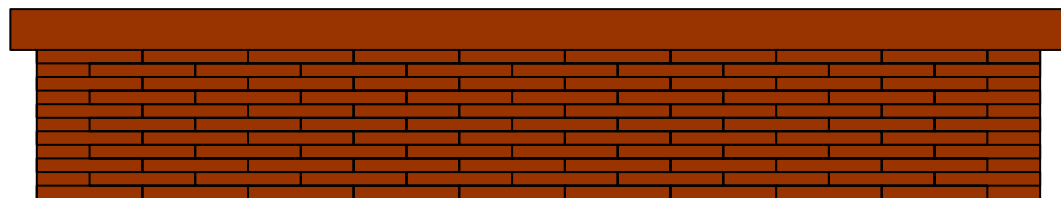
The process of sending the message (on most NATs/FWs) creates a mapping that allows responses to reach the sender

# Firewalls and Media



Media (RTP) is on a different port (different opening in the FW) than SIP

It is possible that at the time the media is sent, it is rejected by the firewall



# NAT/FW Traversal

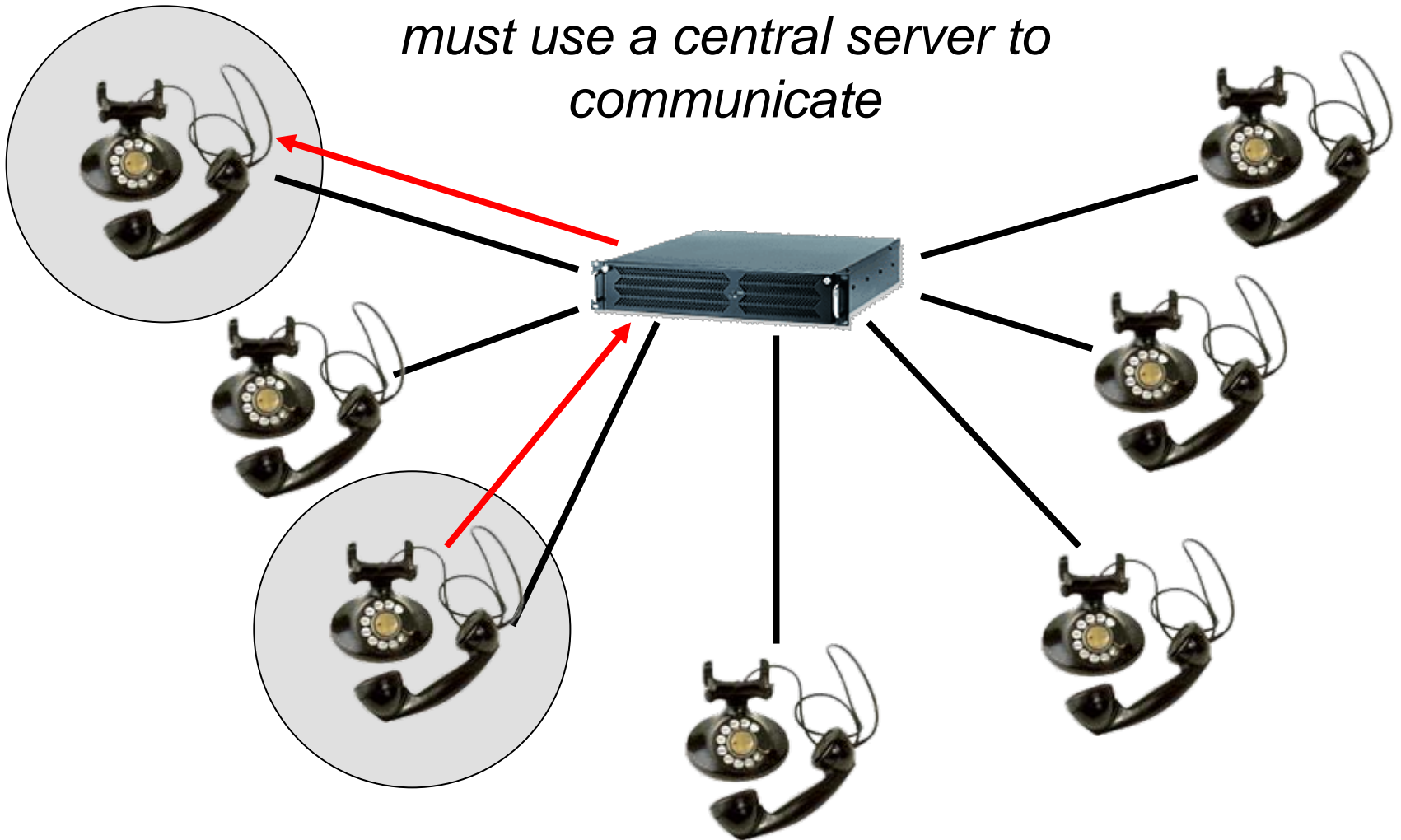
- Several Solutions
    - IETF efforts
      - STUN, TURN and ICE
      - Query outside servers to find out “public” address
      - Can use media relays to help get media between two hosts behind firewalls
    - **Session Border Controllers (SBCs)**
      - Sit near the firewall/NAT, rewrite the internals of the packets, work with firewall to open ports
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# Peer-to-Peer (P2P) SIP

- Growing but very new area
  - Basic idea – reduce or completely eliminate the central servers (proxy/softswitch)
  - Essentially all functionality is moved to the UA
  - IETF is looking at this, a few companies have (for now nonstandard) implementations
  - Very cost effective for small enterprises
  - Highly scalable, so also good for internet-wide deployments
-

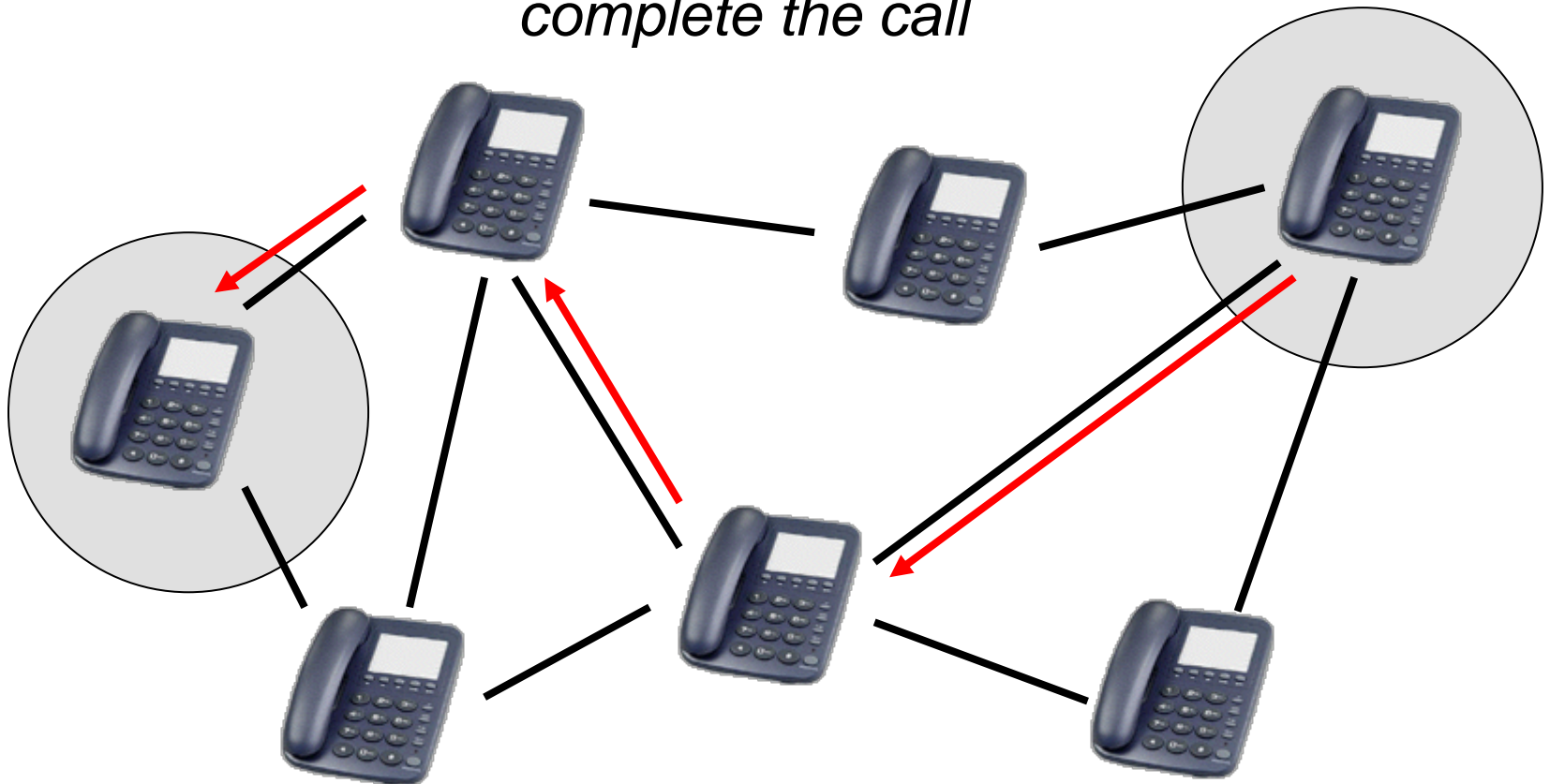
# Client/Server Session

*In a Client/Server session, two nodes must use a central server to communicate*



# P2P Session

*In a Peer-to-Peer session, when two nodes communicate, a few other nodes, rather than a central server, help complete the call*



# Open Source SIP projects

- Several good open source SIP projects
    - ReSIProcate / Repro
      - Highly compliant stack and proxy
    - VOCAL
      - Full SIP “softswitch” – includes proxy, softclient, voice mail, etc.
    - IPTel Sip Express Router
      - High performance Proxy. Less full featured than VOCAL, but faster and more robust
-

# Some Good SIP Webpages

- Tech-Invite ([www.tech-invite.com](http://www.tech-invite.com)) is a great source for general SIP information with many beautiful color illustrations
  - SIP Tutorial at [iptel.org](http://iptel.org), ([www.iptel.org/sip/siptutorial.pdf](http://www.iptel.org/sip/siptutorial.pdf))
  - SIP versus H.323, also at [iptel.org](http://iptel.org), ([www.iptel.org/info/trends/sip.html](http://www.iptel.org/info/trends/sip.html))
  - ReSIProcate open source stack and proxy project ([www.sipfoundry.org](http://www.sipfoundry.org))
  - IETF information can be found at [www.ietf.org](http://www.ietf.org) and [www.softarmor.com](http://www.softarmor.com)
  - P2PSIP.org ([www.p2psip.org](http://www.p2psip.org)) is the biggest community site for P2PSIP
-

# Books on SIP

- **SIP Demystified**, *Gonzalo Camarillo*, McGraw-Hill Telecom Series, 2002
  - **Internet Communications Using SIP**, *Henry Sinnreich and Alan B. Johnston*, Wiley Networking Council Series, 2001
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# Acknowledge/Thanks

- Thanks to those who have worked on SIP over the years, presented SIP tutorials, or written SIP books!
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